



University of Coimbra



Centre for Informatics and Systems of the University of Coimbra

# **BICEP RESEARCH PROJECT**

## **BENCHMARKING COMPLEX EVENT PROCESSING SYSTEMS**

# **FINCoS FRAMEWORK**

## **USER GUIDE**

**VERSION 2.2**

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## Table of Contents

1. Introduction.....	3
Components and Applications .....	3
Troubleshooting .....	4
2. Release Notes.....	5
Changes from version 2.1.....	5
Changes from version 2.0.....	5
Changes from version 1.1.....	5
3. Installation.....	6
System Requirements .....	6
On Windows .....	6
On Linux.....	6
4. Creating a Test Setup .....	8
Driver Configuration.....	8
Sink Configuration .....	11
Test options.....	12
When to use End-to-End RT Measurement Mode .....	12
When to use “Inside Adapter” RT Measurement Mode .....	13
When to use the FINCoS Adapter.....	13
When to use Direct Communication with CEP engines .....	13
5. Preparing the Environment for Running Tests.....	14
Initializing the Adapter Application.....	14
Initializing the Performance Monitor Application.....	16
Response Time Measurement.....	19
6. Running Tests .....	20
Changing Parameters in runtime .....	20
Pausing/Stopping Load Submission .....	20
Performance Test Workflow .....	20
7. Adding support for new CEP engines .....	22

## 1. Introduction

The FINCoS framework is a Java-based set of tools for load submission and performance measuring of Complex Event Processing systems. It provides a flexible and neutral approach for experimenting diverse CEP systems, where multiple datasets, queries, answer Validators, and engines can be easily attached, swapped, reconfigured and scaled.

The FINCoS framework was developed in the ambit of the BiCEP research project, carried at the University of Coimbra, with funding of the Marie Curie European Commission.

## Components and Applications

Figure 1 illustrates the general structure of the FINCoS framework, which includes five main components:

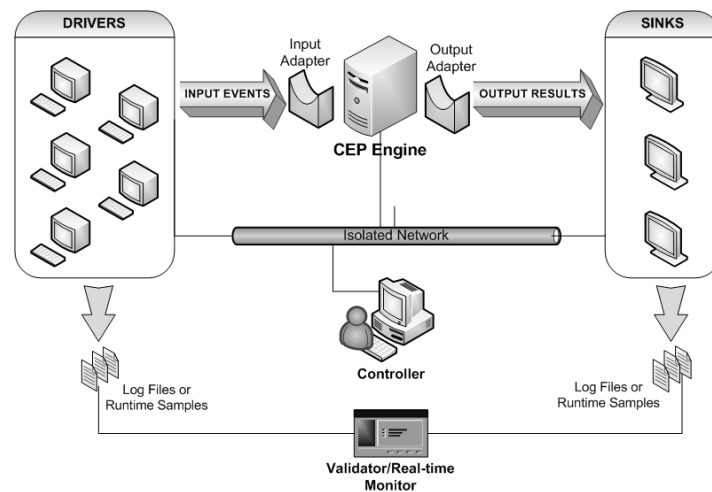


Figure 1.1: Architecture of the Framework

1. **Driver** – simulates external sources of events; it is responsible for submitting load to the system under test (SUT). The events which compose the workload can be generated by the Driver itself according to user's specification or they can be loaded from a third-party file. Currently, the FINCoS framework supports only simple directives for data generation, so it is possible that one needs to use its own dataset obtained directly from real applications or from simulations;
2. **Sink** – receives output events resulting from the queries running on CEP engines. The results are stored in log files and/or transmitted over network for subsequent validation;
3. **Controller** – it is the interface between the framework and the user. The Controller application is used to configure the setup of the environment (e.g., number of drivers and sinks or how many machines are used) and to control the other applications during performance tests (e.g., start and interrupt components, increase/decrease load intensity or change workload parameters);
4. **Adapters** – there is no standard event representation across CEP engines, so typically each product has its own set of supported formats. In the absence of a common format to all engines, we have adopted a neutral comma-separated-value (CSV) event representation and custom adapters to make the conversions to a format compatible with their corresponding CEP engine. We have implemented a few adapters for some products, and it should be easy for other people to extend that list – that is especially the case for some vendors whose products already support, fully or partially, event exchange using CSV format;

5. **Validator** – validates the results produced by CEP engines. It takes information from all drivers and all sinks and produces summaries indicating how well the SUT has performed. Those reports can be displayed while the tests are running or only after completion. A typical report includes performance metrics such as response time or throughput, as well as information about the correctness of the results. *Validators are query-specific and as such must be dynamically developed and attached to the framework.*

Tests can be configured to use multiple Drivers and Sinks distributed over different machines. This architecture permits to increase the load over the SUT by scaling up the number of components, when the current configuration is not powerful enough for submitting the desired load. The framework also provides flexible experiments setup. For instance, each Driver has its own workload (i.e., one or more datasets and event submission rates) and executes independently from other Drivers – which can be useful to simulate events coming from distinct sources. Of course, all Drivers can have exactly the same configuration (for instance, to increase the load over the SUT as discussed before). Moreover, the workload of a Driver can be made very dynamic, either during test setup, by dividing its execution in one or more sequential phases, with each phase having its individual workload characteristics (event rate, duration and dataset) or by altering some workload parameters on-the-fly, while tests are running. The possibility to vary workload over time is useful for testing the ability of CEP engines to adapt to changes. Finally, the framework was designed to be portable across different CEP products and test scenarios. The parts for which there is no standardization, namely, *adapters and Validators*, were made “plug-and-play” components, which *are developed and attached to the framework on demand*.

The FINCoS framework is currently distributed as package of four applications. Drivers and Sinks are encapsulated inside a single application called **FINCoS daemon service**. This application must run in background in every machine where Drivers and/or Sinks are expected to run. Its job consists simply in starting new instances of Drivers and Sinks. The controller component is implemented as an application called **FINCoS Controller**. The framework also includes a small application, called **FINCoS Adapter**, for receiving events from Drivers and forwarding them to the CEP engine and for receiving events from CEP engines and forwarding them to Sinks. The vendor-specific conversions are performed by classes inside this application. These classes are developed on-demand, according to the need for supporting a given CEP product (*the framework currently includes such classes for three CEP engines*). Finally, the FINCoS framework comes with a sample validation application, called **FINCoS Performance Monitor**, which allows collecting performance measures either in real time, from running tests or from log files, after test completion.

## Troubleshooting

If you experience `OutOfMemory` errors with some FINCoS component, try to increase the heap memory size available for it, by editing the “`-Xmx`” parameter in the corresponding batch file. The following line shows an example of a possible configuration for minimum and maximum heap size parameters:

```
-Xms32m -Xmx512m
```

In this case, the memory space for the heap is constrained to a range between 32MB and 512MB.

If you identify any problems with the FINCoS framework or have any suggestions, please let us know through the email address: [mnunes@dei.uc.pt](mailto:mnunes@dei.uc.pt).

## 2. Release Notes

The 2.2 version of the FINCoS framework brings several improvements and bug fixes. Please see next Sections for a list of changes from previous versions.

### Changes from version 2.1

- Possibility to choose the arrival process used in load submission (either *deterministic* or *Poisson process*);
- Possibility to specify cycles for workloads based on external data files;
- New test option "*Timestamping Mode*", which allows choosing if event's timestamp will be assigned at creation time or immediately before sending it to CEP engines;
- Fixed a problem in logging when the Drivers communicate directly with the CEP engines;
- Fixed a problem that happened when multiple Sinks were configured to subscribe to the same stream, when communicating through the FINCoS Adapter;
- Upgraded adapter of Esper to version 3.1
- (Controller) Support for deterministic event mix in synthetic workloads;
- (Controller) Several usability tweaks;

### Changes from version 2.0

- New test option "*RT Measurement Mode*", which allows configuring where and at which resolution response time must be measured;
- New test option "*Communication Mode*", which allows choosing if events must be exchanged through the FINCoS Adapter or directly (*from Drivers to CEP engine and from CEP engine to Sinks*);
- Possibility to enable buffering in the communication between Drivers/Sinks and Adapter;
- Possibility to activate periodic log flushing to disk;
- (Performance Monitor) Allows to select the measurement interval (MI) of Sink log files;
- (Performance Monitor) New statistics (e.g. RT standard deviation, RT histograms);
- Improved performance on data generation.

### Changes from version 1.1

- New, more functional, Performance Measuring tool (FINCoS Performance Monitor);
- Added support for the open-source CEP engine *Esper*;
- More control over data/load generation (e.g. ability to choose whether events' data are generated in runtime or if they must be saved into a data file for being loaded later; possibility to set the number of threads used for event submission and a fixed seed for random number generation);
- Extended logging capabilities (e.g. ability to enable/disable logging, select events' fields to be logged and specify logging sampling rate);
- Improved performance on data generation and load submission;
- Fixed some bugs and performance issues on component's communication.

### 3. Installation

#### System Requirements

Being a Java-based framework, FINCoS runs in any machine with a Java Virtual Machine installed (version 1.5 or later). Hardware requirements greatly depend on the specific needs for load generation and performance measurement accuracy. Although it is possible to run all components of FINCoS in a single machine, we recommend having a dedicated machine for Drivers and Sinks, and a separate machine for hosting both the CEP engine and, optionally, the Adapter application<sup>1</sup>. This will ensure that no application interferes on another, thus granting a more precise load submission and performance measurement.

The next sections exemplify the installation of FINCoS on Windows and Linux.

#### On Windows

1. Make sure that a Java Virtual Machine is installed in any machine where any component of FINCoS is expected to run (*FINCoS was tested with Sun's Hotspot JVM's versions 1.5 and 1.6*);
2. Add the Java home to Windows environment variables (right click on "My Computer" -> "Properties" -> "Advanced" -> "Environment Variables" -> "System Variables", edit the "Path" entry, by adding the JRE bin directory on its end);
3. Extract the FINCoS .zip file (on preference to "C:/FINCoS" directory)
  - a. If you extract the .zip file on a directory other than "C:/FINCoS" you will need to edit the RMI codebase property of the batch files "run\_Controller.bat" and "run\_FINCoS\_DaemonService.bat" as follows:

```
java.rmi.server.codebase=file: [install_dir]/bin/
```

It may also be necessary to change paths used in sample test setups and query files.

#### On Linux

1. Make sure that a Java Virtual Machine is installed in any machine where any component of FINCoS is expected to run (*FINCoS was tested with Sun's Hotspot JVM's versions 1.5 and 1.6*);
2. Extract the FINCoS .zip file to a directory of your preference.

---

#### NOTES:

\* FINCoS was tested on Ubuntu Linux, and Windows, versions XP, 2003, Vista, and 2008.

\*\* Sun's Hotspot JVM for 32-bits platforms has actually two distinct implementations: Client and Server. The former is intended to reduce applications startup time and memory consumption while the latter aims at improved performance. We have indeed observed that running the FINCoS framework under the HotSpot **Server** JVM provide considerable performance gains. We thus recommend using the server JVM implementation by adding the "-server" option in the batch files of FINCoS application as follows:

```
java -server [...] pt.uc.dei.fincos.controller.DaemonServer
```

---

<sup>1</sup> The Controller application has negligible overhead during test, so in principle it could be in the same machine as any other component. If the FINCoS Performance Monitor application is employed during tests for real time performance measuring and it is expected to process a large volume of events, it also should run in a separate machine, possibly shared with the Controller application.

Notice, however, that the Hotspot Server JVM is only distributed as part of Sun's JDK (it is not available at JRE package). Notice also that the 64-bit version of Sun's Hotspot JVM has only the server implementation, so, the `-server` flag is useless in this case.

---

## 4. Creating a Test Setup

Tests are configured using the FINCoS Controller application. Creating a test setup consists in configuring at least one Driver and one Sink. Figure 2.1 shows the Controller application with a sample test setup.

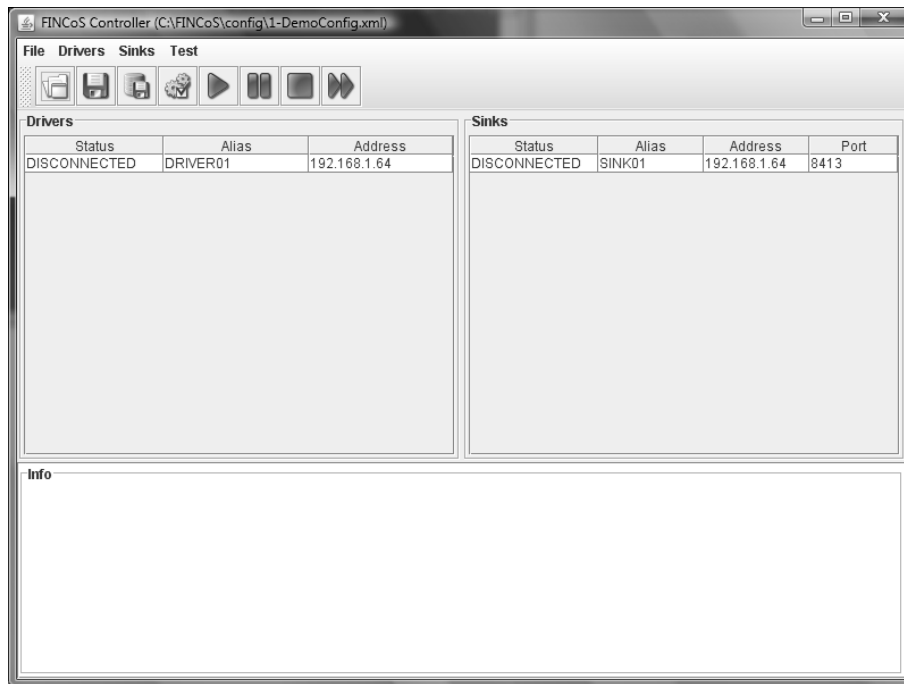


Figure 2.1: Controller Application showing a test setup with 1 Driver and 1 Sink

Tests setups can be saved in XML configuration files for being used again later. In next Sections we will see how to configure Drivers and Sinks.

### Driver Configuration

To configure a new Driver, select 'New...' from the 'Drivers' menu. The "New Driver" Dialog will appear as in Figure 2.2. A Driver configuration has the following parameters:

- ✓ Alias – An unique identifier for the Driver in the test setup;
- ✓ Address – The IP address of the machine where the Driver must run;
- ✓ Workload – A set of phases, each with its own workload characteristics (we will talk more about workload parameters next). Each Driver must have at least one execution phase. You can add, delete and copy phases by right clicking the 'phases' table as shown in Figure 2.2;
- ✓ Thread Count – sets the number of threads used for load generation/event submission;
- ✓ Server Address/Port – the address and port to which the Driver must send its events;
- ✓ Logging – specifies whether the generated

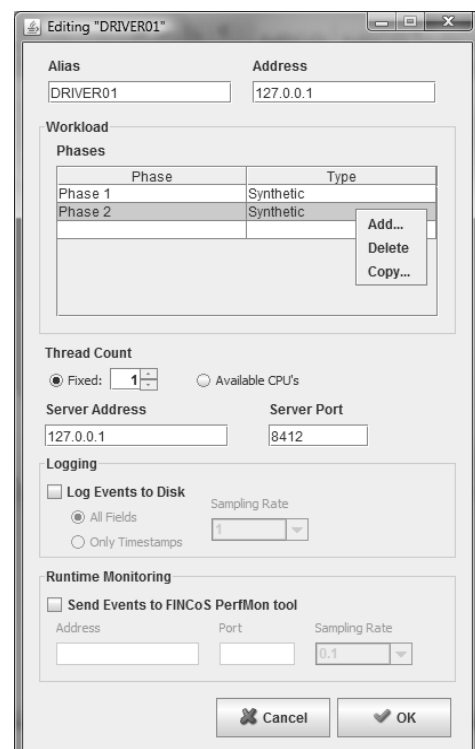


Figure 2.2: Driver Configuration



events are logged to disk or not. Also configures which fields of the events must be saved (all or only their timestamps) as well as whether sampling is performed or not;

- ✓ Runtime Monitoring – Indicates if the Driver must send a percentage of its events (*'Sampling rate'* parameter) to the FINCoS Performance Monitor running at given machine on a given port ( *'Address'* and *'Port'* parameters).

All the fields are mandatory and must be correctly filled in order to successfully finish the configuration of a Driver.

The workload of a Driver is comprised of phases that can be either synthetic (*i.e. generated by the FINCoS framework itself*), or based on an external dataset file (*the framework only supports external files stored in CSV format*). Figure 2.3 shows the setup of a synthetic phase. In such configuration you need to specify the desired duration of the phase, an initial event submission rate and a final submission rate (*if the last two parameters are different, the event submission rate will increase/decrease linearly*). Event submission may happen in one of two ways: *deterministic* or *Poisson process*. By default, the inter-arrival time between consecutive events is deterministic and represents the inverse of the submission rate. This behavior can be overridden by checking the “Poisson process” box, which makes inter-arrival time to be exponentially distributed.

It is also necessary to configure the schemas of the events to be generated (*you must configure at least one event type*). Figure 2.3 (a) shows the configuration of an event type named “StockTick”, with three attributes: “ID”, “Symbol” and “Price”, of LONG, TEXT and FLOAT data types, respectively.

Phase Detail

Workload

☒ Synthetic ☐ External File

Duration: 60 sec Initial Rate: 10000.0 Final Rate: 10000.0 events/sec ☐ Poisson process

Schema

Type	Columns	Mix
StockTick	ID, Symbol, Price	100.0

☐ Deterministic Mix (all types have the same frequency)

Data Generation Options

Generate Events' Data: ☒ In runtime ☐ Before test and save to data file ☒ Use a fixed seed: 123

Cancel OK

Figure 2.3: Synthetic Workload Configuration

(a) Event Type Detail

Name: StockTick

Columns

Name	Type	Distribution
ID	LONG	Sequential
Symbol	TEXT	Predefined list
Price	FLOAT	Sequential

Cancel OK

(b) Column Detail

Name: Price Data Type: FLOAT

Domain Options

Type: Sequential

Parameters

Initial Value:

☐ Constant:

☒ Random Variate: Uniform  lower: 100.0 upper: 300.0

Increment:

☐ Constant:

☒ Random Variate: Normal  mean: 0.0 stdev: 1.0

Cancel OK

Figure 2.4 (a) Configuring Event Type's Schema (b) Data generation Options

When creating event types, besides specifying their structures, you also configure the “domain” of each attribute, which controls the way data is generated. For instance, the “Price” attribute in figure 2.4 (b) was configured as a sequential domain, with an initial value uniformly distributed over [100, 300] interval and an increment following a normal distribution. The framework currently supports the following data generation mechanisms:

Domain	Description
<b>Random</b>	Items are generated randomly, following a given variate distribution (uniform, normal or exponential).
<b>Sequential</b>	A domain that generates sequential data. An initial value and an increment are specified. Both the initial value and the increment can be constant values or random variates (uniform, normal or exponential).
<b>Predefined List</b>	The items are generated from a predefined list. It is possible to choose if the items from the list will be all generated at the same proportion in a predictable way, or to specify an individual frequency for each item.

If you configure more than one event type, you can specify the percentage of events to be generated of each type by setting the ‘mix’ parameter in the schema table as shown in figure 2.3 (*the mixes do not need to sum up 100 or 1, because the framework normalizes the values; thus, specifying 50/50, 0.5/0.5 or 30/30 for two event types mixes will have the same effect: they will be generated in the same proportion*). Selecting the “deterministic mix” check box makes event types to be generated evenly in a predictable and repeatable order (one after another).

For workloads based on external data files (Figure 2.5), you need to inform the path of the dataset file as well as some information regarding timestamps and event types. Figure 2.5 shows the configuration of a workload with an external data file which contains timestamps but does not contain event types (*all events are of the same type “Position\_Report”*). Notice that you can also specify the number of times that the dataset file will be read/submitted to the CEP engine (“loop count” parameter).

Regarding timestamps, there are three options:

- The data file contains timestamps and they are used for event submission** – in this case, the framework will send events according to the relative timestamps of the data file. *The timestamp must be the first column in the CSV data file;*
- The data file does not contain timestamps** – in this case, you need to specify an event submission rate;
- The data file contains timestamps but they are not used for event submission** – again, you must specify an event submission rate. It is important noticing that this is not the same as the latter case. If the data file contains timestamps and you select the second option, the framework will consider the timestamps as one more field of the events, and will send them

Figure 2.5 Configuration of a Workload with data file

to the CEP engine, which may not be the expected behavior. By selecting this third option, you are telling the framework to ignore the first column of the data file (the timestamp) and to not send it to the CEP engine.

Regarding event types, there are two options:

- i. **The data file contains event types** – in this case, the framework will use the type information stored in the data file during event submission. *The event type must come before the event's payload and must be preceded by a "type:" prefix;*
- ii. **The data file does not contain event types** – in this case, all events stored in the data file must be of the same type, and you need to specify the name of this type during workload configuration as shown in figure 2.4.

The following line shows the typical format of a typed, timestamped record supported by FINCoS:

```
1219210744031,type:StockTick,3,GOOG,258.1864
```

## Sink Configuration

To configure a new Sink, select 'New...' from the 'Sinks' menu. The "New Sink" Dialog will appear as in Figure 2.6. A Sink configuration has the following parameters:

- ✓ Alias – An unique identifier for the Sink in the test setup;
- ✓ Address – The IP address of the machine where the Sink will run;
- ✓ Port – The port on which Sink will receive events from the CEP engine/FINCoS Adapter;
- ✓ Streams – the list of output streams that the Sink will listen to. You can add and delete output streams by right clicking the 'Streams' list as shown in Figure 2.6;
- ✓ Server Address – the address of the CEP engine/Adapter from which the Sink will receive its events. This information is not required for the Sink operation, but it is essential for the Adapter and Performance Monitor applications;
- ✓ Logging – specifies whether the received events are logged to disk or not. Also configures which fields of the events must be saved (all or only their timestamps) as well as whether sampling is performed or not;
- ✓ Runtime Monitoring – likewise in the Drivers configuration, these fields indicate if the Sink must send a percentage of its events ('Sampling Rate' parameter) to the FINCoS PerfMon tool running at given machine on a given port (Validator 'Address' and 'Port' parameters).

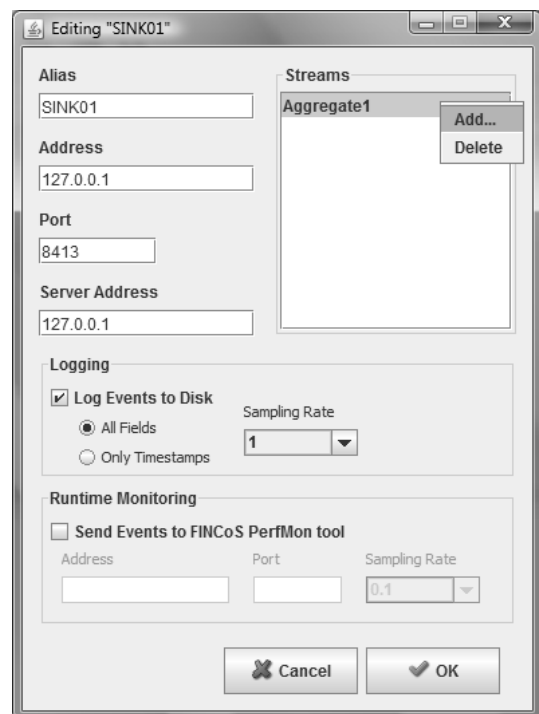


Figure 2.6: Sink Configuration.

Again, all fields are mandatory and must be correctly filled in order to successfully finish the Sink configuration.

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\* NOTE: the name of the output streams configured here must match the name of output streams in the CEP engine. Likewise, the name of event types specified during Drivers' configuration must match the name of input streams in the CEP engine.

## Test options

By selecting 'Options...' in the 'Test' menu it is possible to configure some parameters of the performance runs as shown in Figure 2.6 below:

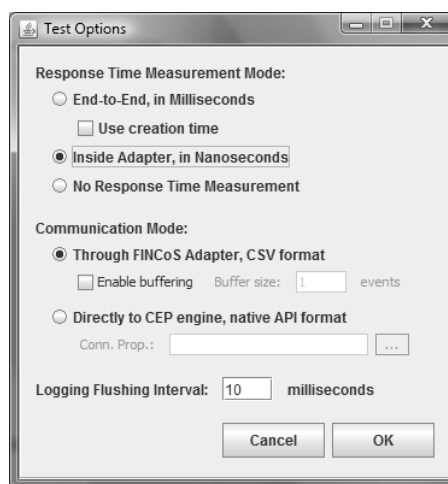


Figure 2.6: Test Options.

- ✓ Response Time Measurement Mode – Determines at which locations and with which resolution response time is recorded (*see Chapter 5 for more details*);
- ✓ Communication Mode – Determines if events must be exchanged through the *FINCoS Adapter* application or directly (*from Drivers to CEP engine and from CEP engine to Sinks*). When using the *FINCoS Adapter*, it is possible to enable buffering in the communication with Drivers and Sinks, as well as to specify a buffer size, in order to achieve higher throughputs;
- ✓ Logging Flush Interval – A periodic interval at which log must be flushed to disk (*zero disables periodic flushing, and log is flushed for every new record*);

## When to use End-to-End RT Measurement Mode

You may want to use end-to-end response time measurement if one or more of the following conditions are true:

- When communication mode is set to DIRECT (*mandatory*);
- When it is acceptable to have a less accurate response time measurement in order to reduce the overhead in the server machine;
- When you want to consider network/communication time as part of response time;
- When Drivers and Sinks run in the same machine (*no problems with clock synchronization*)

### When to use “Inside Adapter” RT Measurement Mode

You may want to measure response time inside the FINCoS adapter if one or more of the following conditions are satisfied:

- When accurate response time measurement is required;
- When you want exclude network/communication time from response time;
- When Drivers and Sinks run in different machines (*requires synchronization between machines: inherently inaccurate  $\approx 10ms$* );
- When the server machine can afford with the additional overhead of response time measurement;

### When to use the FINCoS Adapter

- When you want to measure response time at nanoseconds resolution;
- When you want to isolate the cost of event format conversion and/or account for it in the evaluation of the CEP engine;
- When you want to run tests with more than one CEP engine at the same time, using a single instance of the FINCoS Controller and/or FINCoS Performance Monitor.

### When to use Direct Communication with CEP engines

- When it is satisfactory to report response time in a millisecond resolution;
- When you do **not** want to consider the cost of event format conversion in the evaluation of the CEP engines (*conversion is performed at Drivers and Sinks*);
- In very high throughput scenarios (*the Adapter introduces an additional layer in the communication with the CEP engine; it may become the bottleneck if events are sent at very high rates*);
- When you do **not** want to run tests with several CEP engines simultaneously.

## 5. Preparing the Environment for Running Tests

Before starting to run tests using the Controller application, a few steps need to be accomplished. First, the FINCoS daemon service application must be running in the machines where instances of Driver and Sink are expected to run, in order to start them. Second, the Adapter application must be running and ready for accepting connections from Drivers and for forwarding events from CEP engine to Sinks. Additionally, if Drivers and Sinks are configured to send part of their events to the FINCoS Performance Monitor application during runtime, it must also be available before test starts.

Starting the FINCoS daemon service application is very simple: you only need to start its process, by double-clicking its batch file (or the corresponding shortcut). Alternatively, you can configure this application to start on OS startup. Next we see how to initialize the Adapter application.

### Initializing the Adapter Application

The Adapter application receives events from Drivers as textual CSV messages, using plain sockets on a given local port. The message must contain event's data as payload and an additional property indicating event's type. The latter is used for routing purposes, to allow the Adapter to forward the event to the appropriate input stream on the CEP engine, after having done the required conversions (*the event type's name which is specified in the message **must** match the name of an input stream in the CEP engine*). Likewise, resulting events from the CEP engine are delivered using plain sockets on a remote port of appropriate Sinks. Notice that somehow the Adapter needs to route the results of output streams from CEP engine to one or more Sinks. That's why the Adapter application needs to be initialized before running tests. The following picture shows the initial state of the Adapter application, just after being started:

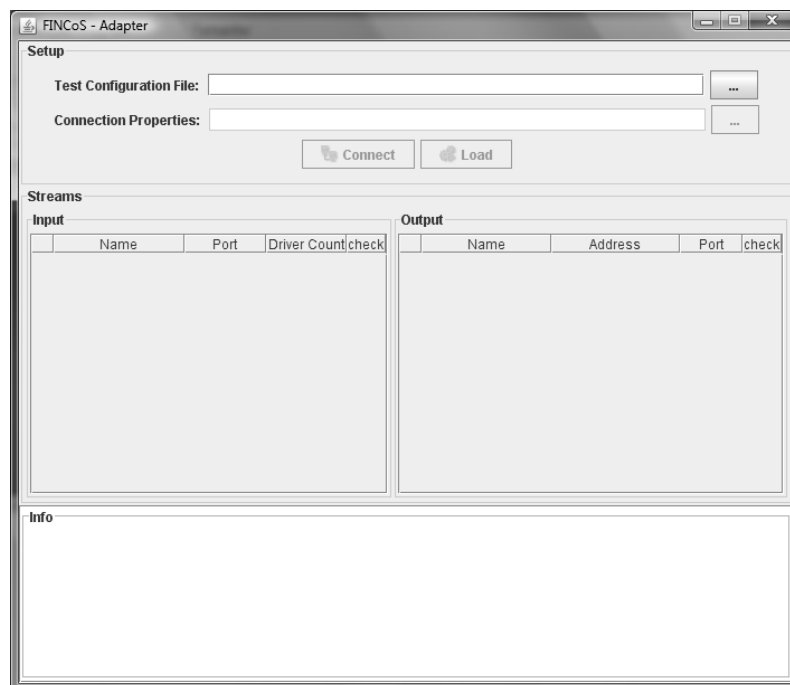


Figure 3.1: Adapter application immediately after being started

As we can see, no information about the streams is available at that moment. The initialization of the Adapter is then done as follows:

1. **Load a test configuration file** – in this step, you must inform the path of a configuration file, previously created with the Controller application, containing the setup of a test. At

that moment, the Adapter will get and display the list of input and output streams which are intended to be used in the test, as shown in figure 3.2;

2. **Load a file containing vendor-specific connection properties** – This file contains information like the IP address of the machine where the CEP engine is running, the TCP port for connection, and any other vendor-specific parameter needed for allowing the Adapter application to connect with the intended CEP engine. Of course, different CEP products will have different fields in this .properties file. If you want to extend the Adapter application for supporting new CEP products you will need to create your own .properties file and write some code to parse it;
3. **Connect to CEP engine** – when the user clicks on the “Connect” button, the Adapter will try to connect with the CEP engine using the connection properties obtained from the product-specific .properties file (*in most CEP products the continuous queries must already be running at that moment*). If the connection succeeds, the Adapter will retrieve the list of available input and output streams. The Adapter then will check if the streams configured in the test setup file are all available in the CEP engine. If that is the case, the Adapter application will look like in figure 3.3, with a check mark in the right side of each stream, indicating that they are indeed available at the CEP engine. At that point, the Adapter will be ready for being loaded. On the other hand, if some of the streams in the test setup file are not present in the CEP engine, the Adapter will display a warning message, and it will not be possible to load it (*in fact, it is still possible to proceed with Adapter load in this case, by deselecting the missing stream(s); however the missing stream(s) will not be available for use at the Adapter, which may lead to an undesirable test behavior*);
4. **Load the Adapter** – when the user clicks the “Load” button, the Adapter application initializes its communication interfaces, by opening server sockets for receiving events from Drivers and by subscribing to output streams at the CEP engine. At that time, the Adapter is fully initialized and can already be used in performance tests;

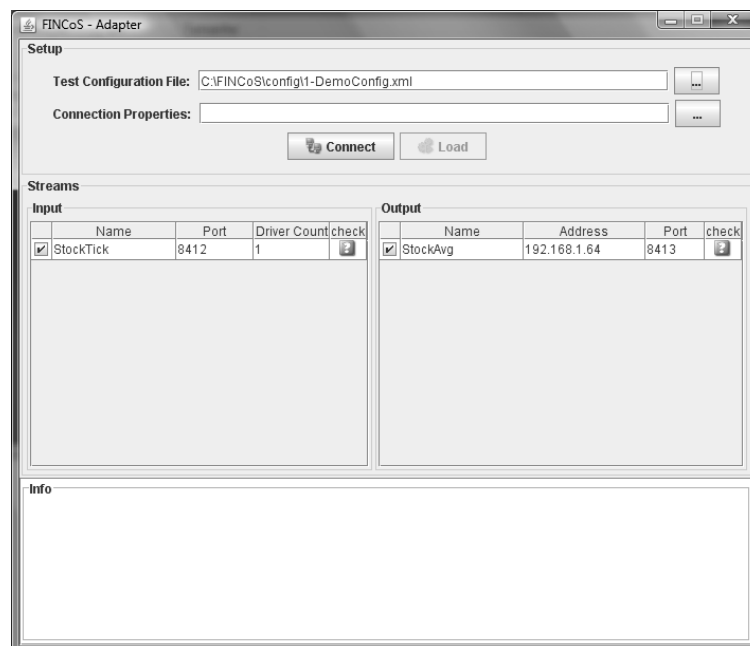


Figure 3.2: Adapter application after opening test setup file

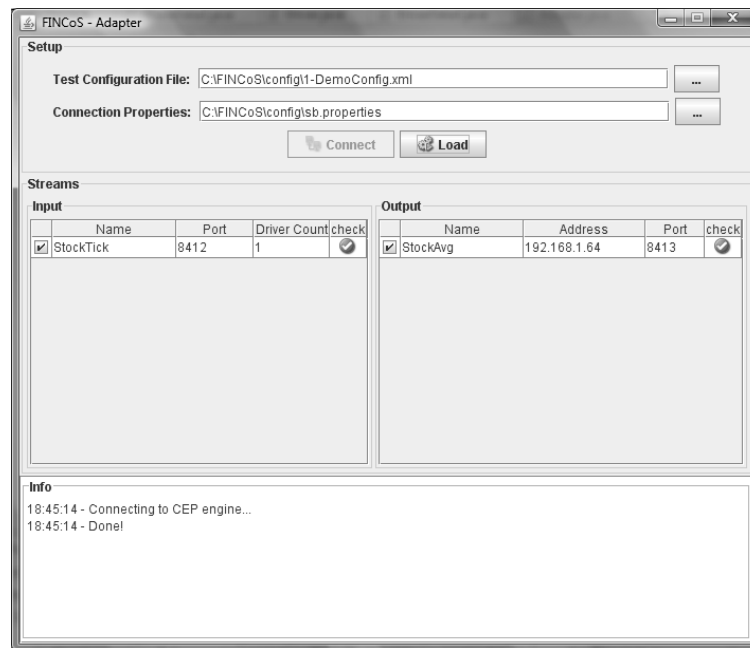


Figure 3.3: Adapter application after connecting to CEP engine

## Initializing the Performance Monitor Application

The FINCoS Performance Monitor application permits to see the response time and throughput for each query exercised during a performance test. As shown in Figure 3.4, there are three possible sources of performance data for the FINCoS Perfmon tool.

The first option is *“Real Time”*, and means that the FINCoS Perfmon tool will dynamically compute and present performance stats while tests are running. If you configure Drivers and Sinks to send events to the FINCoS Perfmon tool, it must have been loaded with this option before test starts. For doing this, you will need to inform the path of the test configuration file. This allows the FINCoS Performance Monitor application to know which queries it is expected to monitor and to identify exactly from which component (Drivers and Sinks) the events are coming. Figure 3.4 shows a test setup where one Driver and one Sink were configured to send events to the FINCoS Performance Monitor application (this information is retrieved by a Performance Monitor instance by comparing the *‘Validator address’* field of all Drivers and Sinks which are inside the test configuration file with the IP address of the machine where it is currently running).

The second source option, *“Sink Log Files”*, will make the FINCoS Perfmon tool to process a set of log files produced by Sinks during tests and compute performance stats from them. Notice that depending on the volume of events logged by Sinks this may be a time-consuming operation. For this reason, it is possible to save the resulting stats into a summarized Perfmon log file. This file can then be loaded later, by selecting the third source option, *“PerfMon Log File”*, thus avoiding having to process all Sink log files again in order to see the performance stats of a given test.



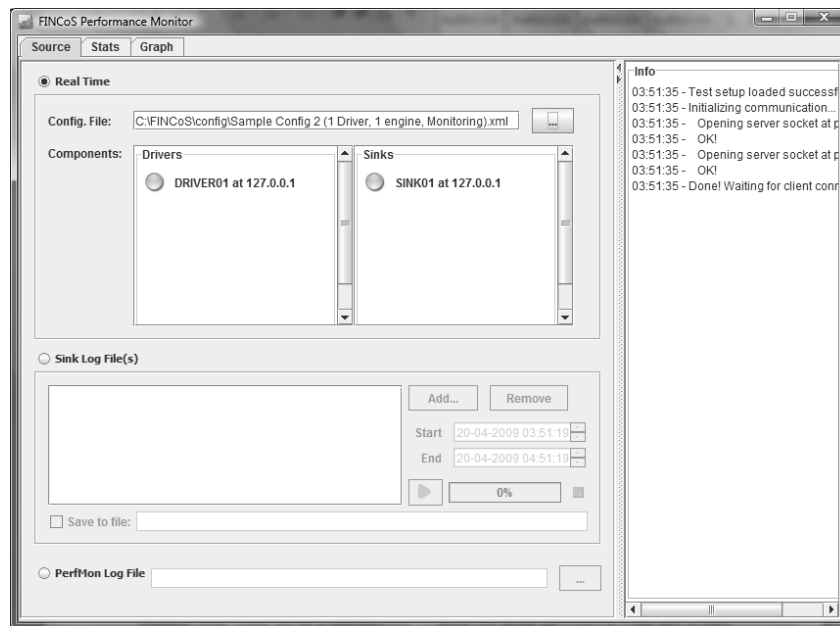


Figure 3.4: The components from which the Performance Monitor will receive events

The FINCoS PerfMon tool presents performance stats in both tabular and graphical formats. In the “Stats” tab you can find detailed information about the performance of the several queries exercised during tests (e.g. *average, minimum, maximum and last values for throughput and response time metrics*). There will be a separate table for every CEP server participating in the test. In each table there will be one row for each query running in the corresponding CEP server. Figure 3.6 shows a hypothetical test setup with two CEP engines, each with one configured query:

FINCoS Performance Monitor

Source Stats Graph

CEP Engine at 127.0.0.1

...	Query	Avg Throughput	Last Throughput	Avg RT	MinRT	MaxRT	Last RT
...	StockAvg	956,04	540,00	94,12	3,00	548,00	120,00

CEP Engine at 127.0.0.2

...	Query	Avg Throughput	Last Throughput	Avg RT	MinRT	MaxRT	Last RT
...	StreamX	956,04	540,00	94,12	3,00	548,00	120,00

Figure3.5: “Stats” tab

It is worth noting that the “Stats” tab shows a snapshot of the current performance of the system(s) under test. For real time monitoring, the values in the table(s) are continuously being updated, while for a log-file-based source, the tables remain static and reflect the last values of the metrics. It may be desirable however, to see the evolution of the metrics over time, and that is something that you do not have at the “Stats” tab. For that purpose there is the “Graph” tab, as shown in Figure 3.6:

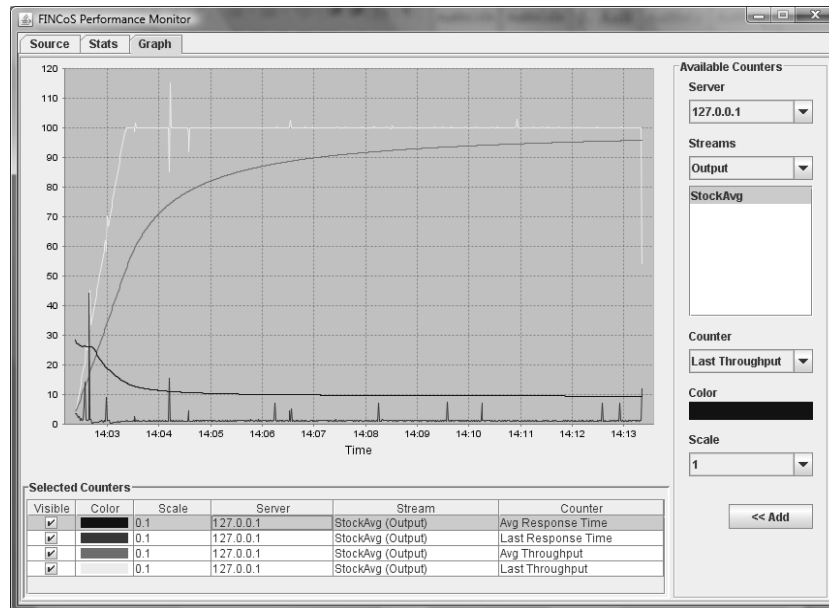


Figure 3.6: “Graph” Tab: Performance indicators for a continuous query “StockAvg”.

For those who are used to the MS-Windows® Performance Monitor tool this tab will look familiar. In the centre there is a chart, where it is possible to visualize the desired performance metrics. In the right, there is a list of available counters that can be added to the chart (*in case of real time monitoring, this list will contain all the configured streams in the selected test setup file; in case of a log-based source, the list of available counters will be determined only after processing the log files*). Finally, in the bottom there is a list of selected counters (those that have already been added to the chart). The chart is in a per-second basis, that is, each datapoint of the lines represents the last value recorded for that particular stream until that exact second.

Additionally, when processing Sink log files it’s possible to visualize the distribution of response time as shown in figure 3.7 below:

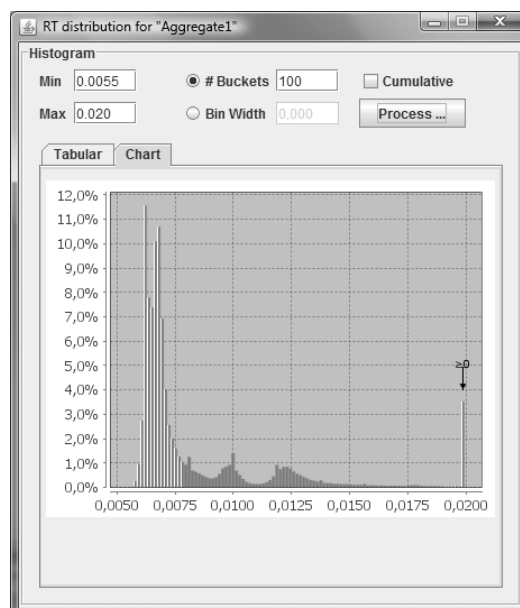


Figure 3.7: Histogram of response time.

## Response Time Measurement

In the FINCoS Framework, response time can be measured in different ways and within two resolution levels. The *RT Measurement Mode* option defines in which points and at which time resolution timestamps are collected for response time computation. This option can take three values:

- ✓ `END_TO_END_RT_MILLIS` – response time is measured as the time it takes for an output event to arrive at a Sink after the corresponding event that caused it is sent by a Driver. Timestamps are recorded at Drivers and Sinks in a millisecond resolution.
- ✓ `ADAPTER_RT_NANOS` – response time is measured inside the FINCoS Adapter. It is computed as the difference between the moment at which the Adapter is notified of the arrival of an output event and the moment at which the corresponding event that caused the output was sent to the CEP engine by the Adapter. Timestamps are recorded in nanoseconds.
- ✓ `NO_RT` – response times is not computed.

Notice that for the first two modes, the FINCoS Performance Monitor assumes that the timestamp of the input event and the timestamp of the output event, used to computer response time, can be found respectively in the last two fields of the output event. While the latter is automatically added either by the Sink or the Adapter (depending on the mode), the former needs to be included in the output event by the CEP engine. More specifically, it is necessary that: i) the schema of the input stream and of the output stream in the CEP engine must explicitly contain a field to store the timestamp of the input events; ii) the timestamp field must be the last in both the input and output streams schemas; iii) the query in the CEP engine must forward the timestamp field of the input event as the last field of the output event.

When operating in the first RT measurement mode (*end-to-end*), it is also possible to choose which timestamp is assigned to input events: either *send time* or *creation time* (see figure 2.6). The former is the default and means that the timestamp assigned to events represents the moment immediately before sending it to the FINCoS Adapter. In the latter, the events' timestamp is assigned by the scheduler of the load submission mechanism (*that is, the timestamp represents the time when the event should have been sent, independently of potential delays*). Creation time is useful when dispatch of events blocks on their processing at CEP engine. By using creation time instead of send time, it is possible to account for delays introduced by the blocking mechanism of communication API's of CEP engines (see Figure 3.8).

### Response Time - Possible Definitions:

- 1)  $RT = \Delta t_3$
- 2)  $RT = \Delta t_2 + \Delta t_3 + \Delta t_4$
- 3)  $RT = \Delta t_1 + \Delta t_2 + \Delta t_3 + \Delta t_4$

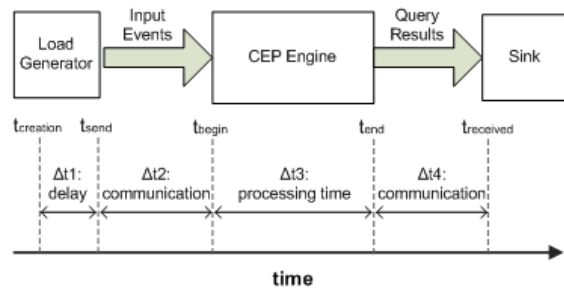


Figure 3.8: Response Time Measurement

## 6. Running Tests

Having created a test setup, and prepared the environment, running the test is a very simple task and involves two actions (again, the auxiliary applications – namely the FINCoS daemon service, the FINCoS Adapter, and possibly the FINCoS Performance Monitor – must be running at that time):

1. **Load components** – by clicking the ‘load’ button in the Controller application, all Drivers and Sinks will be initialized. For Drivers, that means connecting to the Adapter application and generating the dataset (or loading it from an external file, if it is the case). For Sinks, that means only opening a Server socket for accepting output events from the Adapter application. Additionally, if Drivers and Sinks have been configured for real-time monitoring, they will try to connect with the FINCoS Performance Monitor too.
2. **Start load submission** – by clicking the ‘start’ button in the Controller application, all Drivers will begin to send events to the Adapter, which in turn will forward them to the CEP engine.

\* **TIP:** It is possible to load or start a component individually by right clicking it in the ‘Drivers’ and/or ‘Sinks’ table.

### Changing Parameters in runtime

The FINCoS framework allows changing some workload parameters while test is running. For instance, it is possible to alter the rate at which events are submitted to the CEP engine, by specifying a multiplication factor. The original event submission rate will then be multiplied by the specified factor (notice that these modifications are not cumulative; that is, by setting the multiplication factor to 2 twice does not make the events to be submitted 4 times faster, but 2 times faster).

It is also possible to change datasets by switching to the next phase of a Driver execution (of course, this requires that different phases with different datasets have been previously configured). All these modifications can be applied to only a single Driver or to all Drivers at once.

### Pausing/Stopping Load Submission

At any moment it is possible to pause and stop load submission. Pausing load submission means that all Drivers will temporarily interrupt event submission, but nothing happens to the Sinks. Stopping the load submission interrupts definitively event submission at Drivers and unloads them (closing the connection with the Adapter). The Sinks are also unloaded (closing their server socket, and thus, the connection of the Adapter application to them).

### Performance Test Workflow

In this Section we review the whole flow of steps needed for running a test with the FINCoS framework:

1. [Controller, user] **Start the Controller application and use it to create a new test setup or load a previously saved configuration file;**
2. [Manually or automatically by OS] **Start the FINCoS daemon service in each machine where Drivers and/or Sinks are intended to run;**
3. [Manually] **Start and Load one or more instances of the FINCoS Adapter Application;**
  - Description:
    - ✓ [Adapter, user] Informs paths for test configuration file and connection properties file;
    - ✓ [Adapter, application] Connects to CEP engine and checks stream compatibility;
    - ✓ [Adapter, application] Initializes server socket for Drivers and output listeners for Sinks;

4. (OPTIONAL) [*Manually*] **Start and Load one or more instances of the FINCoS Performance Monitor Application;**

- Description:
  - ✓ [*Perfmon, user*] Informs path for test configuration file;
  - ✓ [*Perfmon, application*] Initializes server sockets for Drivers and Sinks;

5. [*Controller, user*] **Load all Drivers and Sinks;**

- Description:
  - ✓ [*Controller, user*] Clicks 'load' button;
  - ✓ [*Controller, application*] Transmits the corresponding configuration for each Driver and Sink;
  - ✓ [*Driver, application*] Generates/Loads data files and connects to Adapter application;
  - ✓ [*Sink, application*] Initializes server socket to receive output events from Adapter application;

6. [*Controller, user*] **Start load submission**

- Description:
  - ✓ [*Controller, user*] Clicks 'start' button;
  - ✓ [*Controller, application*] Sends a remote command for Drivers to start load submission;
  - ✓ [*Driver, application*] Submits events previously generated/loaded;
  - ✓ [*Adapter, application*] Receives incoming events from Drivers, makes the appropriate conversions, and forwards to CEP engine; receives output events from CEP engine, makes the appropriate conversions, and forwards to Sink;
  - ✓ [*Sink, application*] Processes output events from Adapter;

7. **Pause load submission**

- Description:
  - ✓ [*Controller, user*] Clicks 'pause' button;
  - ✓ [*Controller, application*] Sends a remote command for Drivers to pause load submission;
  - ✓ [*Driver, application*] Interrupt temporarily event submission;

8. **Stop load submission**

- Description:
  - ✓ [*Controller, user*] Sends a remote command for Drivers to stop load submission and unload them as well commands for unloading Sinks;
  - ✓ [*Driver, application*] Interrupts definitely event submission and disconnect from adapter;
  - ✓ [*Sink, application*] Processes any pending events in the server socket, and then closes it;
  - ✓ [*Adapter, user*] Reloads Adapter or closes application;

## 7. Adding support for new CEP engines

Currently the FINCoS framework offers adapters for three CEP engines, namely Esper, Coral8™ and StreamBase™. It must be easy to extend this list, since developing an adapter in the FINCoS framework basically consists in implementing two functions: one to send events to the CEP engine and another to receive events from it. The conversion between CSV records and product's internal representation is encapsulated inside those two functions. The following code snippet shows the abstract class `CEPEngineInterface` used by the FINCoS Adapter application to connect to CEP engines, send and receive events to/from them, and retrieve list of streams:

```
public abstract class CEPEngineInterface {
    /**
     * Connects to CEP engine
     */
    public abstract boolean connect() throws Exception;

    /**
     * Performs any vendor-specific initialization at client side
     * (e.g. initialize listeners for output streams)
     */
    public abstract boolean load() throws Exception;

    /**
     * Disconnects from CEP engine and performs any finalization
     * procedures needed (e.g. close listeners for output streams).
     */
    public abstract void disconnect();

    /**
     * Sends an event to an input stream at CEP engine
     * Converts the framework CSV-based representation to a format
     * supported by the CEP engine.
     */
    public abstract void sendEvent(String e) throws Exception;

    /**
     * Optional function. Retrieves the list of input streams of a
     * continuous query running on CEP engine.
     */
    public abstract String[] getInputStreamList() throws Exception;

    /**
     * Optional function. Retrieves the list of output streams of a
     * continuous query running on CEP engine
     */
    public abstract String[] getOutputStreamList() throws Exception;
}
```

For extending the Adapter application in order to support other CEP engines, you need to create a class that inherits from the `CEPEngineInterface` class and implement its abstract methods. You will also need to implement a listener for receiving events from output streams at the CEP engine. You can find more details by looking at the FINCoS framework source code.